SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number:

Estimated average burden hours per response: 0.5

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] <u>Hertzman Brian S.</u> | | | | Issuer Name and T MERICAN F FG] | | ng Symbol AL GROUP INC [| | tionship of Reportin all applicable) Director Officer (give title below) | 10% 0 | Owner (specify | | |
|--|-----------------|----------|--|---|---|--|---|--|---|---|--|--|
| (Last) 301 E. FOURTH | (First) H ST | (Middle) | J. | Date of Earliest Tra 5/13/2021 | nsaction (Mor | nth/Day/Year) | | Sr. VP, CFO | | , | | |
| (Street) | | | | If Amendment, Date | e of Original F | iled (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| CINCINNATI | OH | 45202 | | | | | X | Form filed by On | e Reporting Per | son | | |
| (City) | (State) | (Zip) | | | | | | Form filed by Mo Person | re than One Re | porting | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| Date | | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |

| | (Month/Day/Year) | (Month/Day/Year) | 8) | | | | | Owned Following Reported | (D) or indirect (I) (Instr. 4) | Ownership (Instr. 4) |
|--------------|------------------|------------------|------|---|--------|---------------|-------------------------|------------------------------------|-----------------------------------|-------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 05/13/2021 | | S | | 1,000 | D | \$128.36 ⁽¹⁾ | 8,615 | D | |
| Common Stock | | | | | | | | 1,853.8053 ⁽²⁾ | Ι | ESPP |
| Common Stock | | | | | | | | 27.399 ⁽³⁾ | I | DRIP |
| Common Stock | | | | | | | | 2 , 456.86 ⁽⁴⁾ | I | RASP 401(k) |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) of Dispo of (D) (Instr | 5. Number of Expiration Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 7. Titl Amou Secur Unde Deriv Secur 3 and | int of rities rlying ative rity (Instr. | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---|---|--|---|------------------------------|---|--|---|---------------------|---|---|---|--|--|--|--|
| | | | | Code | v | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$128.34 to \$128.405, inclusive.

2. Shares owned by the reporting Person in the Issuer's Employee Stock Purchase Plan (the "ESPP"). All ESPP information reporting herein is based on a plan statement dated as of 12/31/2020.

3. Represents shares held in the Company's Dividend Reinvestment Plan based on plan statement dated as of 12/31/2020.

4. Represents amounts held by Reporting Person in a retirement plan of the Issuer based on a statement dated 12/31/2020.

Remarks:

Brian S. Hertzman by Karl J. Grafe as Attorney-in-Fact

05/13/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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